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| Form PTO-1390  |   | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE                              | ATTORNEY'S DOCKET NUMBER<br><br>P28519 |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371   |   | U.S. APPLICATION NO. (If known, see 37 CFR<br>1.5) <b>10/549630</b><br>Not Yet Assigned |  |
| INTERNATIONAL APPLICATION NO.<br>PCT/JP2004/003789   | INTERNATIONAL FILING DATE<br>March 19, 2004 | PRIORITY DATE CLAIMED<br>March 20, 2003   |  |
| TITLE OF INVENTION<br>PHOSPHOLIPID DERIVATIVE  |   |   |  |
| APPLICANT(S) FOR DO/EO/US<br>Chika ITOH, Syunsuke OHHASHI, Kazuhiro KUBO, Tohru YASUKOHCHI, Hiroshi KIKUCHI, Norio SUZUKI, Miho TAKAHASHI and Hitoshi YAMAUCHI   |   |   |  |
| <p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.</p> <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (23) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (PCT Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154 (d) (4).</li> </ol> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. .371(c)(4)).<br/>"UNEXECUTED"</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p>Items 11 to 22 below concern other document(s) or information included:</p> <ol style="list-style-type: none"> <li>11. Assignee: <u>NOF Corporation of Tokyo, Japan and DAIICHI PHARMACEUTICAL CO., LTD. of Tokyo, Japan</u></li> <li>12. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>13. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>14. <input checked="" type="checkbox"/> A FIRST preliminary amendment.<br/><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>15. <input type="checkbox"/> An Application Data Sheet under 37 C.F.R. 1.76.</li> <li>16. <input type="checkbox"/> A substitute specification.</li> <li>17. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>18. <input type="checkbox"/> Figure of Drawing to be published:</li> <li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.</li> <li>20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154 (d) (4).</li> <li>21. <input type="checkbox"/> A second copy of the English language translation of the international of the international application under 35 U.S.C. 154 (d) (4).</li> <li>22. <input checked="" type="checkbox"/> Other items or information:<br/>Cover Letter Regarding Inventor's Change of Name<br/>Cover Letter Filed Under 35 USC 371 &amp; 37 CFR 1.495.<br/>Copy of the International Application as published in Japanese.<br/>Copy of the International Application as filed in Japanese.<br/>PCT/ISA/210-International Search Report in English and Japanese; PCT/RO/101; PCT/ISA/237.<br/>PCT/IB/301; PCT/IB/304; PCT/IB/308; PCT/IB/332; PCT/IPEA/409 in Japanese; Claim of Priority</li> </ol> |   |   |  |

|   |              |   |  |              |
|---|--------------|---|--|--------------|
| U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br>Not Yet Assigned<br><b>107549630</b>   |              | INTERNATIONAL APPLICATION NO.<br>PCT/JP2004/003789                            | ATTORNEY'S DOCKET NUMBER<br><b>P28519</b>  |              |
|   |              |   | CALCULATIONS   | PTO USE ONLY |
| 23 <input checked="" type="checkbox"/> The following fees are submitted:<br>Basic national fee.....   |              | 300.00  | \$300.00   |              |
| 24 <input checked="" type="checkbox"/> Examination Fee<br>If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33 (1)-(4).....<br>All other situations.....   |              | 100.00<br>200.00  | \$200.00   |              |
| 25 <input checked="" type="checkbox"/> Search fee<br>Search fee (37 CFR 1.445(a) (2)) has been paid on the international application to the USPTO as an International Searching Authority.....<br>International Search Report prepared and provided to the Office.....<br>All other situations.....   |              | 100.00<br>400.00<br>500.00  | \$400.00   |              |
| <b>TOTAL 23, 24 and 25 =</b>  |              |   | <b>\$900.00</b>  |              |
| <input type="checkbox"/> Additional Fee for Specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.  |              |   |  |              |
| Total Sheets  | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE   |              |
| -100=   | /50 =        |   | x \$250.00   | \$ 0         |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).  |              |   |  |              |
| Claims  | Number Filed | Number Extra  | Rate   |              |
| Total Claims  | 20 - 20 =    | 0   | x \$50.00  | \$ 0         |
| Independent Claims  | 2 - 3 =      | 0   | x \$200.00   | \$ 0         |
| Multiple Dependent Claim(s) (if applicable)   |              |   | + \$360.00   | \$ 0         |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |   | <b>\$900.00</b>  |              |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½ .   |              |   | \$ 0   |              |
|   |              | <b>SUBTOTAL =</b>   | <b>\$900.00</b>  |              |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (i)).  |              |   | \$ 0   |              |
| Extension of Time fee in the amount of \$   |              |   | \$ 0   |              |
|   |              | <b>TOTAL NATIONAL FEE =</b>   | <b>\$900.00</b>  |              |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |              |   | \$ 0   |              |
|   |              | <b>TOTAL FEES ENCLOSED =</b>  | <b>\$900.00</b>  |              |
|   |              | Amount to be refunded   | \$   |              |
|   |              | Charged   | \$   |              |
| <p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$900.00</u> to cover the above fees is included.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The U.S. Patent and Trademark Office is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0089</u>.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p> <p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p> |              |   |  |              |
| SEND ALL CORRESPONDENCE TO CUSTOMER NO. 07055<br>AT THE PRESENT ADDRESS OF:   |              | Leslie J. Paperner<br>Reg. No. 33,329   | <br>SIGNATURE |              |
| Bruce H. Bernstein<br>GREENBLUM & BERNSTEIN, P.L.C.<br>1950 Roland Clark Place<br>Reston, VA 20191<br>(703) 716-1191  |              | Bruce H. Bernstein<br>NAME  |  |              |
|   |              | 29,027<br>REGISTRATION NUMBER   |  |              |

**10/549630**

**JC05 Rec'd PCT/PTO 20 SEP 2005**

P28519.P02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Chika ITOH et al.

**Mail Stop PCT**

Appl. No : Not Yet Assigned  
(U.S. National Phase of PCT/JP2004/003789)

I.A.Filed: May 19, 2004

For : PHOSPHOLIPID DERIVATIVE

**COVER LETTER ACCOMPANYING U.S. NATIONAL STAGE PATENT  
APPLICATION FILED UNDER 35 U.S.C. 371 AND 37 C.F.R. 1.495**

**Mail Stop PCT**

Commissioner for Patents  
U.S. Patent and Trademark Office  
Customer Service Window, Mail Stop PCT  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

Enclosed is a new National Stage patent application for filing in the U.S. Patent and Trademark Office under 35 U.S.C. 371 and 37 C.F.R. 1.495. The Declaration and Power of Attorney attached thereto are in unexecuted form. A properly executed Declaration and Power of Attorney, will be filed within the period of time set in a Notification to be mailed by the United States Patent and Trademark Office.

Related to the above, this application should be associated with Customer Number 07055, which includes the present correspondence address as follows:

GREENBLUM & BERNSTEIN, P.L.C.  
1950 Roland Clarke Place  
Reston, VA 20191

P28519.P02

If there are any questions pertaining to this National Stage Application, please contact the undersigned.

Respectfully submitted,  
Chika ITOH et al.

Bruce H. Bernstein  
Reg. No. 29,027

**Leslie J. Paperner  
Reg. No. 33,329**

September 20, 2005  
GREENBLUM & BERNSTEIN, P.L.C.  
1950 Roland Clarke Place  
Reston, VA 20191  
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**10/549630**

Applicant : Chika ITOH et al.

Serial No : Not Yet Assigned (National Stage of PCT/JP2004/003789)

I.A. Filed : March 19, 2004

For : PHOSPHOLIPID DERIVATIVE

**COVER LETTER REGARDING INVENTOR'S CHANGE OF NAME**

Commissioner of Patents  
U.S. Patent and Trademark Office  
Customer Service Window, Mail Stop \_\_\_\_\_  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

Applicants note that the seventh listed inventor in the International Application changed her name from Miho KUROSAWA to Miho TAKAHASHI due to divorce.

If there should be any questions, the Examiner is invited to contact the undersigned at the telephone number listed below.

Respectfully submitted,  
Chika ITOH et al.

  
Bruce H. Bernstein  
Reg. No. 29,027

Leslie J. Paperner  
Reg. No. 33,329

September 20, 2005  
GREENBLUM & BERNSTEIN, P.L.C.  
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